

## Attribute Dependencies

- Wilhelm/Seidl/Hack: Compiler Design,  
Syntactic and Semantic Analysis –

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# Attribute Dependencies

## Attribute dependencies

- ▶ relate attribute occurrences (and instances),
- ▶ describe which attribute occurrences (instances) depend on which other occurrences (instances),
- ▶ constrain the order of attribute evaluation,
- ▶ are input to attribute-evaluator generators.

## Types of Dependencies

**Local dependencies** between attribute occurrences in a production according to a semantic rule,

**Individual dependency graph** of attribute instances of a tree obtained by pasting together local dependency graphs of productions (instances)

**Global dependencies** between attributes of a non-terminal induced by individual dependency graphs.

- ▶ An individual dependency graph may contain a cycle. Attribute instances on this cycle can not be evaluated.
- ▶ AG is **noncircular** if none of its individual dependency graphs contains a cycle.

### Theorem

*AG is well-formed iff it is noncircular.*

## Local Dependencies

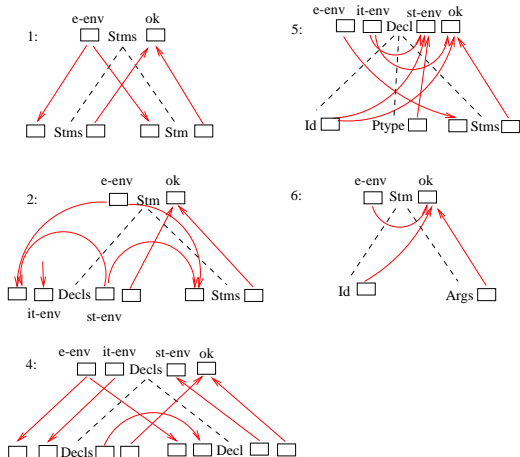
- ▶ **production local dependency relation**

$$Dp(p) \subseteq O(p) \times O(p):$$

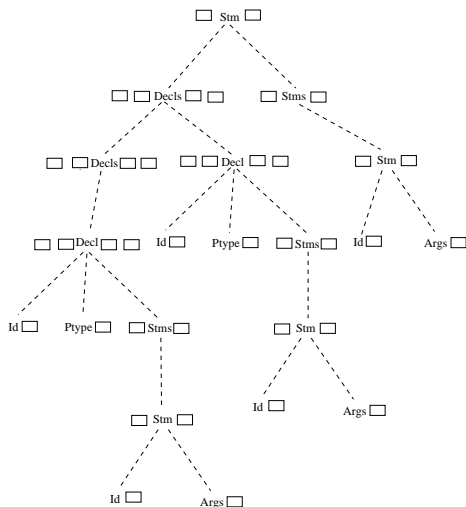
$$b_j Dp(p) a_i \quad \text{iff} \quad a_i = f_{p,a,i}(\dots, b_j, \dots)$$

- ▶ Attribute occurrence  $a_i$  at  $X_i$  depends on  $b_j$  at  $X_j$  iff  $b_j$  is argument in the semantic rule of  $a_i$ .
- ▶ Representation of this relation by its directed graph, the **production local dependency graph**, also denoted by  $Dp(p)$ .

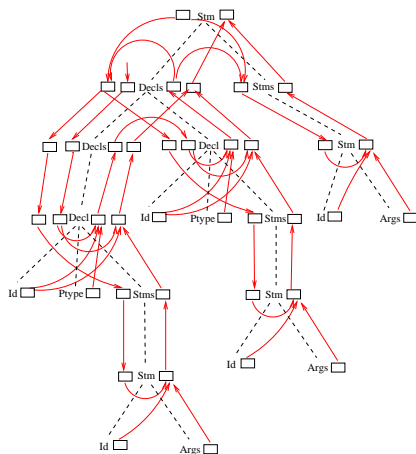
## Local Dependencies in the Scopes-AG



# Individual Dependency Graph



## Individual Dependency Graphs



## A First Attribute Evaluator

Principle:

1. Topological sorting of the individual dependency graph of a tree.
2. Attribute evaluation then done in the resulting order.

Topological sorting

- ▶ takes a partial order (an acyclic graph),
- ▶ produces a total order compatible with the partial order,
- ▶ i.e., resulting total order, an **evaluation order**.



## Topological sorting

- ▶ Keeps a set of **candidates** to be inserted next into the total order,  
initialized with the minimal elements of the order,
- ▶ In each step
  - ▶ Selects a candidate and inserts it into the total order,
  - ▶ Removes it from the set of candidates,
  - ▶ Removes it from the partial order,
  - ▶ Makes all elements only depending on this candidate to candidates,
- ▶ Until the set of candidates is empty.
- ▶ Partial order nonempty  $\Rightarrow$  graph acyclic.

Can serve as a *dynamic* test for well formedness.



## Properties of this Evaluator

- ▶ Evaluation order determined at evaluation time, i.e. compile time; therefore this evaluator is called the **dynamic** evaluator,
- ▶ Additional effort for the determination of the evaluation order at evaluation time,
- ▶ “Data driven” strategy, i.e. the availability of its arguments triggers the evaluation of an instance,
- ▶ Evaluates all instances in a tree,
- ▶ Evaluates each instance exactly once.

## Alternatives

- ▶ Evaluation order may be fixed before evaluation time, e.g. by a fixed evaluation “plan” for each production,
- ▶ “Demand driven” strategy
  - ▶ Starts with a demand of some *maximal* elements in the partial order,
  - ▶ Demand for evaluation is passed to arguments,
  - ▶ Computed values are passed back.
- ▶ Properties of the demand driven strategy:
  - ▶ Allows the selective evaluation of a subset of “interesting” attribute instances,
  - ▶ Only instances needed for the evaluation of these attribute instances are evaluated,
  - ▶ May evaluate instances several times, depending on the implementation, i.e. on whether computed values are stored.

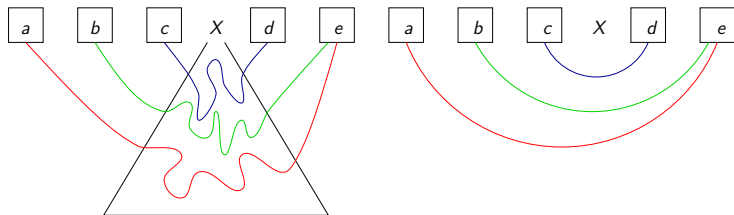
## Issues

- ▶ Separation into
  - Strategy phase:** Evaluation order is determined,
  - Evaluation phase:** Evaluation proper of the attribute instances directed by this evaluation strategy.
- ▶ Goal: Preparation of the strategy phase at generation time, i.e., evaluation orders, evaluation plans, etc. are precomputed from the AG;  
may include a *static* test for well formedness,
- ▶ Complexity of
  - Generation:** Runtime in terms of AG size,
  - Evaluation:** Size of evaluator, time optimality of evaluation.
- ▶ AG subclasses, hierarchy: Expressivity, Generation algorithms, Complexity.

## Lower Characteristic Graphs

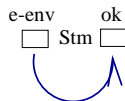
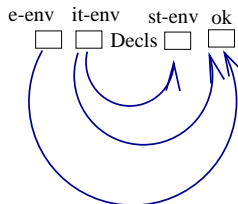
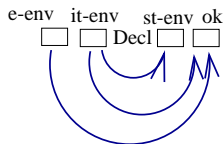
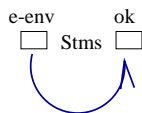
Given  $t$ , tree with root label  $X$

- ▶ “Projecting” the dependencies in  $Dt(t)$  onto the attributes of  $X$  yields the **lower characteristic graph of  $X$  induced by  $t$** ,  $Dt\uparrow_t(X)$ .
- ▶  $Dt\uparrow_t(X)$  contains an edge from  $a \in Inh(X)$  to  $b \in Syn(X)$  iff there exists a path from the instance of  $a$  at the root to the instance of  $b$  at the root in  $Dt(t)$ .



## Example: Lower Characteristic Graphs

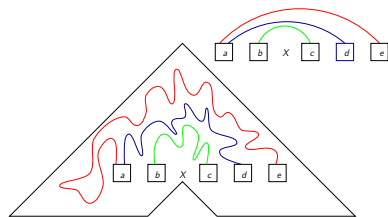
Lower characteristic graphs induced by the previous individual dependency graph:



## Upper Characteristic Graphs

$n$  inner node in  $t$  labeled  $X$ ,  
regards the upper tree fragment of  $t$  at  $n$ ,  $t \setminus n$ ,

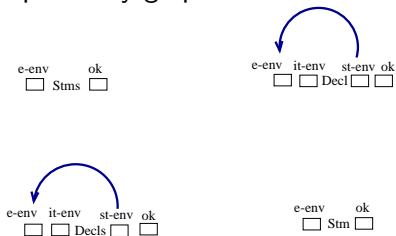
- ▶ “Projecting” the dependencies in  $Dt(t \setminus n)$  onto the attributes of  $X$  yields the **upper characteristic graph** of  $X$  induced by  $t$ ,  $Dt \downarrow_{t,n}(X)$ .
- ▶  $Dt \downarrow_{t,n}(X)$  contains an edge from  $a \in Syn(X)$  to  $b \in Inh(X)$  iff there exists a path from the instance of  $a$  at  $n$  to the instance of  $b$  at  $n$  in  $Dt(t \setminus n)$ .



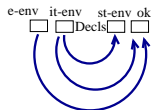


## Example: Upper Characteristic Graphs

Upper characteristic graphs induced by the previous individual dependency graph:



## Strategic Information in Characteristic Graphs

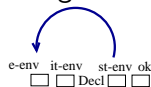


at the root of a subtree means:

**it-env evaluated**  $\Rightarrow$  st-env can be evaluated

**e-env not evaluated**  $\Rightarrow$  ok cannot be evaluated

during a downward visit.



at the root of a subtree means:

**st-env unevaluated**  $\Rightarrow$  e-env cannot be evaluated during an upward visit;

## Induced Global Dependencies

The induction of characteristic graphs:

1. Local dependency graphs,  $Dp(p)$ : Relation on attribute **occurrences** of  $p$   
*Type conversion + Pasting*
2. Individual dependency graph,  $Dt(t)$ : Relation on attribute **instances** in  $t$   
*Transitive closure and restriction*
3. Relation on attribute **instances** of node  $n$  with  $\text{sym}(n) = X$   
*Type conversion*
4. Lower characteristic graph  $Dt\uparrow_t(X) \subseteq \text{Inh}(X) \times \text{Syn}(X)$ :  
Relation on **attributes** of  $X$  or
5. Upper characteristic graph  $Dt\downarrow_{t,n}(X) \subseteq \text{Syn}(X) \times \text{Inh}(X)$ :  
Relation on **attributes** of  $X$ .

## Computation of Global Dependency Graphs

- ▶ So far, **the** characteristic graph induced by **one** tree (fragment).
- ▶ Nonterminal  $X$  has
  - ▶ a set,  $Dt\uparrow(X)$ , of lower characteristic graphs and
  - ▶ a set,  $Dt\downarrow(X)$ , of upper characteristic graphs.
- ▶ These sets are computed at **generation time** by GFA.
- ▶ Only non-terminals can contribute,  
i.e., for  $p : X_0 \rightarrow X_1 \dots X_{n_p}$  this means  $X_i \in V_N$  for all  $1 \leq i \leq n_p$ .
- ▶ Watch out for “typing problems”!

## Formalization of “Pasting”

$R_0, R_1, \dots, R_{n_p}$  relations on the sets  
 $Attr(X_0), Attr(X_1), \dots, Attr(X_{n_p})$ , resp.

The pasting operation  $Dp(p)[\cdot]$  has functionality

$Attr(X_0)^2 \times Attr(X_1)^2 \times \dots \times Attr(X_{n_p})^2 \rightarrow O(p) \times O(p)$ .

$Dp(p)[R_0, R_1, \dots, R_{n_p}]$  is the following relation on  $O(p)$ :

$$Dp(p) \cup R_0^0 \cup R_1^1 \cup \dots \cup R_{n_p}^{n_p},$$

where  $b_i R_i^i a_i$  iff  $b R_i a$ .

The relations on the attributes of  $X_0, X_1, \dots, X_{n_p}$  are regarded as relations on attribute occurrences and unioned.

We write  $Dp(p)[\emptyset, R_1, \dots, R_{n_p}]$  as  $Dp(p)[R_1, \dots, R_{n_p}]$ .

## Formalization of Upward “Projection”

Upward projection  $R\uparrow(p)[\cdot]$  has functionality:

$Attr(X_1)^2 \times \dots \times Attr(X_{n_p})^2 \rightarrow Inh(X_0) \times Syn(X_0)$ .

$R\uparrow(p)[R_1, \dots, R_n]$  is the following relation:

$$b \ R\uparrow(p)[R_1, \dots, R_n] \ a \text{ iff } b_0 \ Dp(p)[R_1, \dots, R_n]^+ \ a_0.$$

## Formalization of Downward “Projection”

Downward projection  $R_{\downarrow i}(p)[\cdot]$  has functionality:

$$\text{Attr}(X_0)^2 \times \text{Attr}(X_1)^2 \times \dots \times \text{Attr}(X_{n_p})^2 \rightarrow \text{Syn}(X_i) \times \text{Inh}(X_i)$$

$R_{\downarrow i}(p)[R_0, R_1, \dots, R_{n_p}]$  is defined by

$$b \ R_{\downarrow i}(p)[R_0, R_1, \dots, R_{n_p}] \ a \quad \text{iff}$$

$$b_i \ Dp(p)[R_0, R_1, \dots, R_{i-1}, \emptyset, R_{i+1}, \dots, R_{n_p}]^+ \ a_i$$

## Extensions to Sets of Relations

Let  $\mathcal{R}_1, \dots, \mathcal{R}_{n_p}$  be sets of relations on  $Attr(X_1), \dots, Attr(X_{n_p})$ , resp.

$$\begin{aligned}
 R \uparrow(p)[\mathcal{R}_1, \dots, \mathcal{R}_{n_p}] &= \{R \uparrow(p)[R_1, \dots, R_{n_p}] \mid R_i \in \mathcal{R}_i, (1 \leq i \leq n_p)\} \text{ and} \\
 R \downarrow_i(p)[\mathcal{R}_0, \mathcal{R}_1, \dots, \mathcal{R}_{n_p}] &= \{R \downarrow_i(p)[R_0, R_1, \dots, R_{n_p}] \mid R_j \in \mathcal{R}_j (0 \leq j \leq n_p)\} \\
 &\quad \text{for all } i \text{ in } (1 \leq i \leq n_p).
 \end{aligned}$$

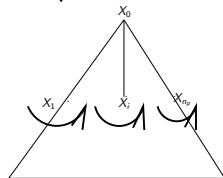


## GFA: Lower Characteristic Graphs

**Evaluation time:**

How to compute  $Dt \uparrow_t(X_0)$  for a tree  $t$  with root label  $X_0$  and  $prod(\varepsilon) = p : X_0 \rightarrow X_1 \dots X_{n_p}$ ?

Let the relations  $Dt \uparrow_{t/1}(X_1), \dots, Dt \uparrow_{t/n_p}(X_{n_p})$  be already computed.



Compute  $Dt \uparrow_t(X_0)$  locally as

$$Dt \uparrow_t(X_0) = R \uparrow(p)[Dt \uparrow_{t/1}(X_1), \dots, Dt \uparrow_{t/n_p}(X_{n_p})]$$

## GFA: Lower Characteristic Graphs cont'd

This suggests for the **generation time**:

$$Dt\uparrow(X) = \bigcup_{p: p[0] = X} R\uparrow(p)[Dt\uparrow(p[1]), \dots, Dt\uparrow(p[n_p])]$$

Least fixpoint is the set of the sets of lower characteristic graphs.

## GFA-Problem Lower Characteristic Graphs

One step in the fixpoint iteration for production  $p$ :

1. Paste all combinations of lower characteristic graphs onto  $Dp(p)$ ,
2. Project the resulting graphs onto the attributes of  $X_0$ ,
3. Form the union all the resulting sets for  $X_0$ .

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bottom up-GFA-Problem lower characteristic graphs

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lattices  $\{D(X) = \mathcal{P}(\mathcal{P}(\text{Inh}(X) \times \text{Syn}(X)))\}_{X \in V_N}$

part. order  $\subseteq$  (subset inclusion on sets of relations)

bottom  $\emptyset$  (empty set of relations)

transf. fct.  $\{Lc_p : D(p[1]) \times \dots \times D(p[n_p]) \rightarrow D(p[0]) \mid$   
 $Lc_p(\mathcal{R}_1, \dots, \mathcal{R}_{n_p}) = R^\uparrow(p)[\mathcal{R}_1, \dots, \mathcal{R}_{n_p}]\}_{p \in \mathcal{P}}$

comb. fct.  $\cup$  (union on sets of relations)

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## A Static Non-circularity Test

- ▶ A lower char. graph represents all dependencies in the trees inducing it.
- ▶ Pasting all combinations of lower char. graphs onto local dep. graphs produces a cyclic graph if AG is circular.  
Hence:
- ▶ AG is noncircular iff  
all graphs in  $Dp(p)[Dt\uparrow(X_1), \dots, Dt\uparrow(X_{n_p})]$  for all productions  $p$  are noncyclic.
- ▶  $|\bigcup_X Dt\uparrow(X)|$  exponential in  $|Attr|$ .
- ▶ The non-circularity test is exponential.

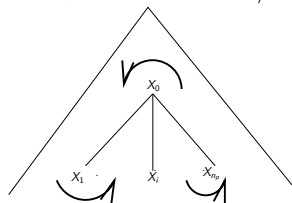
## GFA: Upper Characteristic Graphs

**Compile time:**

Regard  $p$  applied at node  $n$  in  $t$ .

Already computed

$Dt_{\downarrow t, n}(X_0)$  and  $Dt_{\uparrow t/n_1}(X_1), \dots, Dt_{\uparrow t/nn_p}(X_{n_p})$ .



Compute  $Dt_{\downarrow t, ni}(X_i)$  ( $1 \leq i \leq n_p$ ) using the operation  $R_{\downarrow i}(p)[\dots]$ .

## GFA: Upper Characteristic Graphs cont'd

This suggests for **generation time**:

$$Dt\downarrow(S) = \{\emptyset\}$$

$$Dt\downarrow(X) = \bigcup_{p[i]=X} R\downarrow_i(p)[Dt\downarrow(p[0]), Dt\uparrow(p[1]), \dots, Dt\uparrow(p[n_p])]$$

Least fixpoint is the set of the sets of upper characteristic graphs.

## GFA-Problem Upper Characteristic Graphs

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 top down-GFA-problem upper characteristic graphs
 

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 Lattices  $\{D(X) = \mathcal{P}(\mathcal{P}(\text{Syn}(X) \times \text{Inh}(X)))\}_{X \in V_N}$ 

 part. order  $\subseteq$  (subset inclusion on sets of relations)

 bottom  $\emptyset$ 

 transf. fct.  $\{Uc_{p,i} : D(p[0]) \rightarrow D(p[i])$ 

$$Uc_{p,i}(\mathcal{R}) = R \downarrow_i(p)[\mathcal{R}, Dt \uparrow(p[1]), \dots, Dt \uparrow(p[n_p])] \}_{p \in \mathcal{P}, 1 \leq i \leq n_p}$$

 comb. fct.  $\cup$  (union on sets of relations)
 

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- ▶ The sets of lower characteristic graphs are assumed to be computed before.
- ▶ They are constant parts of the functions  $Uc_{p,i}$ .

## Resume Characteristic Graphs

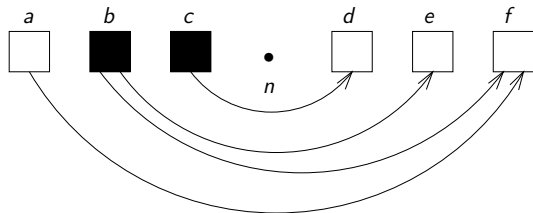
Characteristic graphs are:

- Exact:** For each characteristic graph there is at least one tree (fragment), whose individual dependency graph induces it,
- Costly:** There may be exponentially many of them.



## Approximative Attribute Dependencies

What is the “strategic” interpretation of edges in (lower) characteristic graphs?

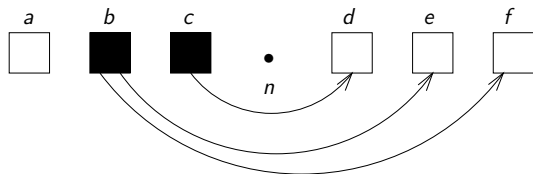


Evaluator visits subtree at  $n$  with  $b, c$  evaluated.

Through this visit, it can

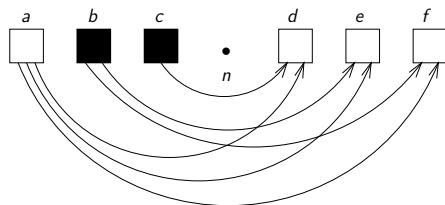
- ▶ evaluate  $d$  and  $e$ ,
- ▶ not evaluate  $f$ .

What does “approximation” mean? deleting edges? adding edges?  
Deleting the edge from  $a$  to  $f$ :



- ▶ Evaluator assumes,  $f$  can be evaluated when value of  $b$  is known.
- ▶ Makes a fruitless visit to the subtree at  $n$ .
- ▶ Inefficient strategy!

Adding edges from  $a$  to  $d$  and  $e$ :



- ▶ Evaluator would not visit the subtree at  $n$  with evaluated  $b$  and  $c$  and unevaluated  $a$ ,
- ▶ Evaluator would only visit the subtree, when also the value of  $a$  is known.
- ▶ Visits may be delayed.

Resumee:

- ▶ Reduced dependency graphs may cause fruitless visits,
- ▶ Augmented dependency graphs may delay visits,
- ▶ Added edges may introduce cycles (cause an infinite delay).

## I/O-Graphs

- ▶ Are an upper bound on the lower dependencies,
- ▶ There may be I/O-graphs with no corresponding tree,
- ▶ There is one graph per nonterminal.

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 bottom up-GFA-problem I/O-graphs
 

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 lattices  $\{D(X) = \mathcal{P}(\text{Inh}(X) \times \text{Syn}(X))\}_{X \in V_N}$ 

 part. order  $\subseteq$  (subset inclusion on relations)

 bottom  $\emptyset$ 

 transf. fct.  $\{lo : D(p[1]) \times \dots \times D(p[n_p]) \rightarrow D(p[0]) \mid$   
 $lo(g_1, \dots, g_{n_p}) = R^\uparrow(p)[g_1, \dots, g_{n_p}]\}_{p \in \mathcal{P}}$ 

 comb. fct.  $\cup$  (union on relations)
 

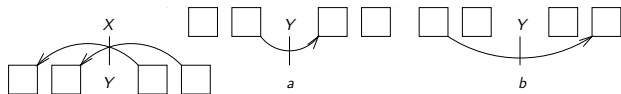
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Yields the system of equations:

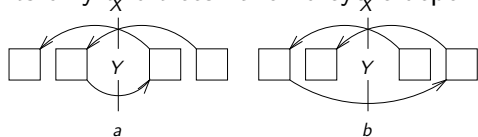
$$IO(X) = \bigcup_{p : p[0] = X} R^\uparrow(p)[IO(p[1]), \dots, IO(p[n_p])]$$

 AG is **absolutely noncircular** if for all productions  $p$  the graph  $Dp(p)[IO(p[1]), \dots, IO(p[n_p])]$  is acyclic.

## A Noncircular, but not Absolutely Noncircular AG



Its only two trees have no cyclic dependencies.



For computing  $IO(X)$   $Dp(2)$  and  $Dp(3)$  are unioned and inserted in  $Dp(1)$  producing a cycle.

